### AFRL-SR-AR-TR-04-REPORT DOCUMENTATION PAGE The public reporting burden for this collection of information is estimated to average 1 hour per response, includir gathering and maintaining the data needed, and completing and reviewing the collection of information. Send commof information, including suggestions for reducing the burden, to Department of Defense, Washington Heads (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-43021 Respondents should be away subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS. 3. DATES COVERED (From - To) 1. REPORT DATE (DD-MM-YYYY) 2. REPORT TYPE May 15, 00 - Nov 14, 03 Final Report 5a. CONTRACT NUMBER 4. TITLE AND SUBTITLE Self-Evaluating Space and Robotic Agents 5b. GRANT NUMBER F49620-00-1-0302 5c. PROGRAM ELEMENT NUMBER 5d. PROJECT NUMBER 6. AUTHOR(S) Dr. Ronald Marsh 5e. TASK NUMBER 5f. WORK UNIT NUMBER 7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) 8. PERFORMING ORGANIZATION REPORT NUMBER University of North Dakota P. O. Box 9015 Grand Forks, ND 58202 10. SPONSOR/MONITOR'S ACRONYM(S) 9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) 4015 Wilson Blvd. Department of the Air Force Air Force Office of Scientific Research Arlington, VA 22203-1954 11. SPONSOR/MONITOR'S REPORT NUMBER(S) 12. DISTRIBUTION/AVAILABILITY STATEMENT Distribution Statement A: Approved for public release. Distribution unlimited 20040304 012 13. SUPPLEMENTARY NOTES **DODAAD CODE: 4B858** AFOSR Program Manager: Dr. Robert Herklotz 14. ABSTRACT In the past three years, we focused on self-evaluative methods for agents that interact with other agents and dynamic environments. We started with the observation that it would be beneficial for agents to sense prevailing qualitics that stem from their interactions such as situation awareness, sociability, coordination, autonomy, failure tolerance, timeliness, and purposefulness. Agents usually have access to constraining requirements over these qualities. Additionally, many of these qualities are conflicting but a balance is desirable for a given domain and agents can discover that in operation. We have shown that an agent could attempt adjustments in its interactions to bring about favorable global changes. Such abilities require agents to have capabilities at the architectural level. Theoretical results include various models of relationships among social notions, and several models of autonomy, trust, and Power Simulation results include two implemented multiagent systems as testheds. Over 60 published reports listed at the end of this report present our theoretical developments and reports of experiments from simulations. In the next two sections we briefly outline theoretical developments and implemented simulations. 15. SUBJECT TERMS 17. LIMITATION OF 18. NUMBER 19a. NAME OF RESPONSIBLE PERSON 16. SECURITY CLASSIFICATION OF: **ABSTRACT** OF Dr. Ronald Marsh a. REPORT b. ABSTRACT | c. THIS PAGE **PAGES**

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# **Project Final Report**

## **Self-Evaluating Space and Robotic Agents**

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**May 2000 – December 2003** 

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# Summary

In the past three years, we focused on self-evaluative methods for agents that interact with other agents and dynamic environments. We started with the observation that it would be beneficial for agents to sense prevailing qualities that stem from their interactions such as situation awareness, sociability, coordination, autonomy, failure tolerance, timeliness, and purposefulness. Agents usually have access to constraining requirements over these qualities. Additionally, many of these qualities are conflicting but a balance is desirable for a given domain and agents can discover that in operation. We have shown that an agent could attempt adjustments in its interactions to bring about favorable global changes. Such abilities require agents to have capabilities at the architectural level. Theoretical results include various models of relationships among social notions, and several models of autonomy, trust, and Power. Simulation results include two implemented multiagent systems as testbeds. Over 60 published reports listed at the end of this report present our theoretical developments and reports of experiments from simulations. In the next two sections we briefly outline theoretical developments and implemented simulations.

#### **Theoretical Work**

We have developed two agent architectures that empower the agent with self-evaluating methods. The first architecture is VONBDI that extends the BDI paradigm with values, norms, and obligations. The second architecture explores how agents can use coherence to organize their memory and to evaluate their interactions with other agents. We looked at issues of group dynamics. Specifically, we developed a model of agent teams that gives prominence to autonomy, cooperation, and responsibility. Relative and absolute senses of autonomy are explored and shown useful in various implementations.

#### **Simulations**

Two applications domains are implemented in simulation. One is teaming among low-orbit satellites, which started in 2000 and continued at UND. This software package we implemented allowed the simulation of intelligent autonomous agents. The software uses the paradigm of satellites in orbit communicating with one or more ground stations or each other. Each satellite and each ground station is considered to be an individual intelligent autonomous agent. The software can restrict communication to agents that are in site of each other or can allow communication regardless of relative positions. All agent communication is done via network sockets. The simulation was successfully used to show that an agent's autonomy considerations affect its interaction in a team. We showed that teaming is superior to scheduling task for low-orbit satellites. Work at UND started in summer of 2000 with the low-orbit satellite simulator. We modified the simulation software to use a metric to predict when a ground station is approaching saturation and to spawn an additional ground stations. The most realistic approach was to spawn another third agent (a new type of agent for our simulation) to act as a facilitator to "load balance" the ground stations. The modifications allowed the removal of a ground

station(s) and possible the facilitator should the overall workload drop to a level below what our metric indicates as a saturation point. We modified our simulation to behave in a non-deterministic manor. An issue left to be determined is when we "spawn" additional agents to act as ground stations or facilitators do we create additional agents or do we convert existing agents (satellites) into ground stations and facilitators. In our particular paradigm, converting existing agents was not realistic. However, in the more general paradigm (i.e. a paradigm of autonomous aircraft flying towards a target point) converting existing agents would be very realistic. Finally, since the latest work performed as UND has not yet been published we have included the manuscript for the work (appendix 1) that will be submitted for publication in the near future.

The second simulation entirely designed and implemented at UA was to mediate interactions among a number of UCAVs flying over a terrain with SAM sites. In our implemented testbed three or more fighter aircraft agents have the mission to deliver a bomb over a remote designated site. There is a one to one relationship between agents and planes. Artificial agents control all the planes except one, which is controlled by a human operator. The human operator controls its plane in the field along with the other planes, and will have similar visual and auditory sensing as well as similar flight maneuvering capabilities. The system is implemented in Java. We used this simulator for empirical investigation of roles and social influences. Our preliminary results demonstrate that responding to team members in need of help improves the team's overall effectiveness. This extends our earlier treatment of teams and measurement of team effectiveness along the dimensions of cohesion. We examined methods of capturing the relationships between social actions and resulting influences. We simulated autonomous versus human controlled UCAV. The results are the following:

- By adjusting trust levels among agents in similar situations, the human supervisor is relieved.
- Adjusting autonomy among agents, changes their performance.
- Agents performance drops when it has to wait longer for human decision.
- Agents use past experience of waiting for human decisions and compose a reliance factor. Using this notion, they adjust their waiting cycle for human decisions.

## **Publications**

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# Appendix 1

#### **Abstract**

This report describes a multi-agent system where independent software agents interact with each other through a broker to achieve a common goal. Our system consists of satellites (considered to be agent) which send information (military, geographical etc) to ground station(s) (considered to be another type of agent) wherein the received information will be processed. However if we have 'n' number of satellites which send information simultaneously it is difficult for the ground station to receive all the information at the same time, thereby leading to overloading of the system. An intelligent system that would create additional ground stations when required thus maintaining optimal performance of the system is desired. The research work presented here addresses the above-mentioned issue.

The goal of the research was to design an environment that would allow the system to make intelligent decisions to improve system performance. Using the concept of network queuing and allowing the system to add or redirect existing agents (with the help of a broker), we have designed an environment that can optimize system performance and resource allocation. Two software simulations of the multi-agent system were used to observe the effectiveness of the solution. The results of simulation are presented in this report.

#### 1. Introduction

Earth observing satellites also known as remote sensing satellites carry instruments that take remote measurements from space that show what is happening on the earth. One of the main objectives of Earth observing satellites is to obtain images of specified areas on the Earth's surface and then send it back to the ground stations. The images can be used in several environmental, military and geographical applications. While the satellite continuously sends messages, the ground station only receives them when the satellite and ground station are in a direct line of sight of each other. An assumption has been made that the environment is very dynamic and that the orbit and number of satellites are not fixed, neither is the location nor the number of ground stations. Any ground station is capable of receiving data from any satellite as long as they are in line of sight with each other. There are times when no satellite is in line of sight and the ground station is idle and times when several satellites are simultaneously in line of sight and the ground station is saturated with messages and is not capable of processing them in a timely manner. This is when one needs a broker in the system which will optimize the message processing by creating additional ground stations which eases the load on the system and removing the added ground stations when no longer needed by conserving the available hardware resources.

The efficiency of the system has been a primary concern in the design of the systems, which deal with efficient allocation of resources. M. Lemaitre et. al. [1] worked on the problem of finding equitable and efficient allocations of resources resulting from the co-

exploitation of an Earth Observation Satellite by several agents. Taking a centralized perspective, in which decisions are made by an impartial arbitrator, a simple and general modelization of the problem has been set, based on two levels of utility functions: the individual utilities of agents, and the collective utility. Four different procedures for selecting the best allocations have been proposed. The first procedure, allocating satellite revolutions to each agent in turn, is quite perfectly equitable but lacks efficiency. The second one amount to a classical utilitarist perspective: the collective utility function is a linear combination of normalized individual utilities, but the coefficients are chosen in a way to favor equity. The third proposed approach is a genuine bi-criteria approach, allowing comparing allocation over two criteria: efficiency and equity. Finally, an egalitarist approach is used, in which a unique collective utility function is used to characterize equitable and efficient allocations. Above four mentioned procedures as been used by M.Lemaitre et. al. [1], to show the allocation of resources among the different agents must be equitable. But it must also be efficient, that is, the available resources must not be under-exploited. Since our research deals with the real time simulation of Earth Observing Satellites and moreover we are dealing with the efficient allocation of resources, we are making our system efficient by introducing a broker, which takes decisions with the variation of load to maintain the system performance.

Load balancing is done in our system based on slope, which is calculated from queue size and the time; the broker varies the number of active ground stations receiving messages. This load balancing activity has been studied intensively by many researchers in past. Yun Sik Kim et. al. [2] introduced one of the unique traffic features of LEO satellite networks, non-uniform traffic load distribution, and proposed the traffic load balancing scheme to resolve this problem, they uses a near-neighbor residual bandwidth information to apportion excess load from heavily loaded satellites to their under loaded neighbors in the network. Sasa Desic et. al. [3] studied a simulation of a distributed system with capability of load balancing by using the artificial load. They also compared the system's performance using several stationary load balancing methods and the system performance without load balancing methods; he showed that several agent based load balancing solutions have shown significant improvement in system performance in comparison with the system without load balancing method. Adrian Vasilache et. al. [4] developed a simulator for a multiple home agent's architecture in a Mobile IP network. They used a discrete event based simulator it subsequently relies on event analysis and interpretation. The events are processed within the Event Dispatcher, and infinite loop that fetches events from a dedicated queue and triggers the appropriate routine. Each event has a time stamp that allows the placement in the queue according to its occurrence. They also comparatively studied the behavior of several load balancing policies and introduced a more realistic customized double threshold load balancing policy.

# 2. Background

An Agent is a computational system that inhabits dynamic unpredictable environments. It has sensors to gather data about the environment and can interpret this data to reflect events in the environment. Furthermore, it can execute commands that produce effects in the environment. Multi agent systems are computational systems in which several

autonomous agents interact and work together to perform tasks or satisfy goals. Many researchers are building agents that can work in complex dynamic multi agent domains [5, 6]. Such domains include virtual theater [7], realistic virtual training environments [8, 9, 10, and 6] RoboCup robotic and virtual soccer [11 and 12], and semantic web [13], among others.

Coordinating the actions of the agents is very important because an agent that considers the activities of other agents when forming its own plan is usually better able to choose actions that lead to outcomes that it favors. On the other hand, it is obviously not a good strategy for the agents of a cooperative multiagent team simply to ignore each other, because the intended effects of one agent's action may already have been achieved by the actions of other agents, it is also not a good strategy for each agent to keep track of all the activities of other agents, because the effort required might prevent the agent from doing useful work itself.

Sen et al. [14] studied the effect of limited local knowledge on group behavior for the resource utilization problem where a number of agents are distributed between several identical resources. They concluded that an agent may benefit more from limited knowledge of the environment rather than complete global knowledge. Hogg et al. [15 and 16] analyzed a similar problem and studied the effects of local decisions on group behavior.

Agent request broker is the agent communication mechanism for exchanging knowledge and permitting inter-agent negotiation. Cooperation is the fundamental characteristic of multi-agent system where the overall system exhibits significantly greater functionality than the individual components [17]. In other words cooperation underlines the structure of multi-agent systems [18]. In most literatures cooperation is regarded as the common sense behavior. Few definitions of co-operation have been presented below:

- Definition 1[19]: one agent adopts the goal of another agent. Its hypothesis is that two agents have been designed in advance, and there is no conflict goal between them, furthermore one agent only adopts another agent's aim passively.
- Definition 2 [20]: one autonomous agent accepts another autonomous agent's goal. Its hypothesis is that only cooperation only occurs between the agents, which have the ability of rejecting or accepting the cooperation.

### 3. Problem Description

As stated, a satellite transfers messages to the ground station only if both are in line of sight and since the orbit and number of satellites are not fixed, there is a lot of variation in the load on the system. There are times when there are many satellites in sight of the ground stations and it is overloaded. There are times when no satellites in sight of the ground stations and are idle. Therefore, at times one needs more ground stations and at times very few depending on the load. Yet ground stations are not capable of making any decisions regarding the load variations. By incorporating a broker in the system we can

provide the system with the necessary decision making capability. We will make broker intelligent enough so that it can change number of ground stations by adding a new ground station to take overload or by killing a ground station when it is no more required to take the load. This results in increase of performance of the system by optimal use of the available resources.

#### 4. Real Time Simulation

### 4.1 System Overview

In this section we will discuss the satellite simulation (SATSIM) environment that we designed for evaluating the effectiveness of message transmission and receipt by agents. SATSIM was designed to operate as a real-time standalone simulation model and allows the user to configure the environment to generate different amounts of data allowing the analysis of different possible situations. SATSIM was written in the C++ language and uses the SOLID (Interference Detection Library Copyright © 1997, 1998 Gino van den Bergen) library for determining when objects are within line of sight. All agent interactions are through sockets using the TCP/IP protocol. Once the simulation is started, satellites continuously send messages to ground stations; however, ground stations can only receive the messages if they are in line-of-sight. Figure 1 shows the environment with one ground station and two satellites. The red line indicates which agents are insights of each other. As shown in Figure 2 SATSIM has two primary components, an agent and a broker. The broker creates sockets and waits for connections from the agents to establish a communication pattern. Agents are either satellites or ground stations. Ground station parameters include the name of the machine hosting the broker to connect to, the latitude and longitude of the ground station, and a unique ID. Satellite parameters include the name of the machine hosting the broker to connect to, the initial latitude and longitude, the altitude, and a unique ID.

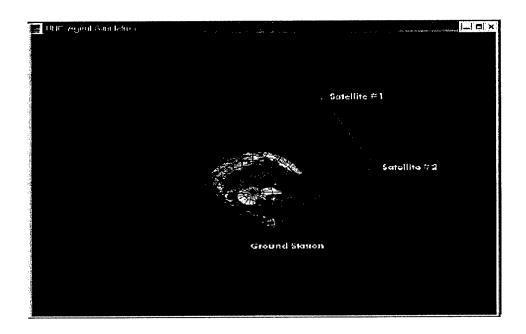


Figure 1: Real Time Simulation Environment.

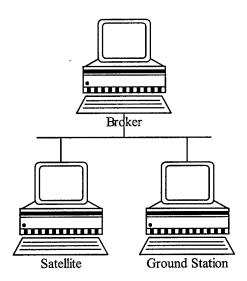


Figure 2: Physical Model Of system

The major problem with a real-time simulation, such as this one, is the non-independence of the agents. Agent independence was obtained by placing the different components on different machines. This, however, resulted in the loss of messages not only due to collisions on the network, but more importantly due to the simultaneous arrival of messages at the broker. We drastically reduce this symptom by applying simple queuing theory of storing all satellite messages received by the broker in a FIFO queue. The messages are then passed on to a ground station for processing, when this message is processed an acknowledgement is sent back to the broker, with the receipt of acknowledgement broker removes a message from the queue and sends it to the ground agent for further processing.

#### 4.2 Real Time Simulation results

Our first investigation was to study the load variation by varying the number of satellites and allowing only one ground station in the system. The purpose of this simulation is to study the system capacity that our current system can handle efficiently without the message loss. As the number of satellites increases, the rate at which the messages are sent from these satellites will also increase and since we have only one ground station, the number of unprocessed messages in queue is also expected to increase results in increased load.

Load balancing was implemented in the following manner; broker receives messages from the satellite(s) and stores in a queue. For every seven messages received the broker calculates the slope and if the slope is greater than 1.22 a new ground station is created for processing messages other wise a ground station is deleted. Then broker sends a message to ground station(s) for processing from the queue. The ground station(s) then

processes the message and sends the acknowledgement to the broker which in turn sends the next message from the queue, this process continues until the queue is empty.

The results shown below demonstrate that as the number of satellites increases from 1 to 6, number of unprocessed messages in queue also increases. But as the number of satellite increases over 6 (as in the case with 8 satellites), number of unprocessed messages decreases instead of increasing. This suggests that there has been loss of messages because of hardware limitations. Hence in our simulation we considered only up to six satellites. The following Figures 4.1, 4.2, 4.3, 4.4 and 4.5 show the variation of queue size over time for different number of satellites.

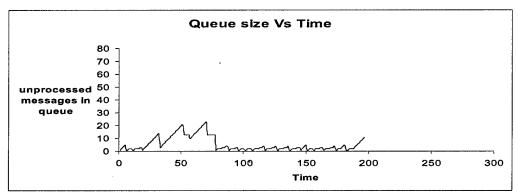


Figure 4.1: One satellite and one ground station.

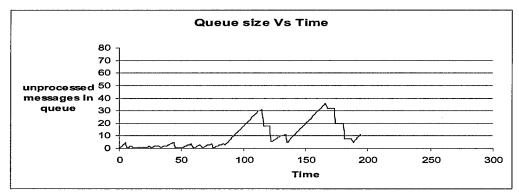


Figure 4.2: Two satellite and one ground station.

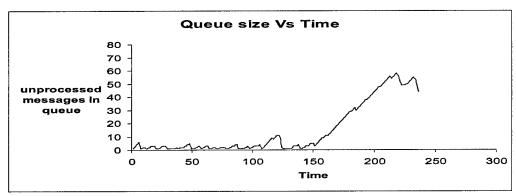


Figure 4.3: Four satellites and one ground station.

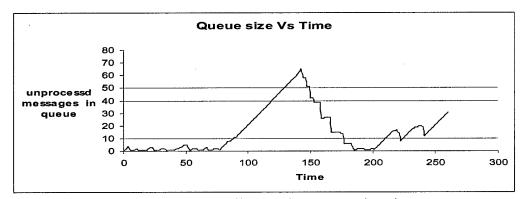


Figure 4.4: Six satellites and one ground station.

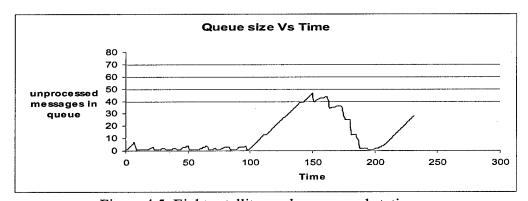


Figure 4.5: Eight satellites and one ground station.

Our second investigation was to make the broker intelligent and allow it to make decisions regarding load balancing. As the number of satellites increases, the size of the unprocessed messages in the queue also increases, since we have only one ground station running at any time, the increase in the queue size cannot be satisfied by one ground station, hence we have to add new ground stations whenever it is necessary to satisfy the increased message traffic, and kill the added stations whenever it is no longer need, to conserve the system resources. We have arbitrarily set the maximum queue size to 28

messages. For any instance of simulation if queue size exceeds 28 messages our simulation is assumed to have failed.

This adding and killing the ground stations is determined by the slope calculated from the queue size and the time. Based on the simulation results we found that the critical slope is 1.22. Using slope value less than 1.22 the system doesn't fail but doesn't work at the optimal performance level either. However, using a slope value greater than 1.22 results in the queue size exceeding 28 messages which results in system failure. The slope is calculated after the arrival of seventh message; this number was determined experimentally. If we calculate slope after the arrival of more than seven messages the system fails (queue size exceeds 28), this is because broker couldn't add the required number of ground stations in a timely manner to avoid the failure. Broker adds the ground station if the slope is above 1.22 and kill the added ground station if the slope is below 1.22.

The results shown in Figures 4.6, 4.7, 4.8 and 4.9 demonstrate the affect of adding ground stations when necessary and killing them when they are no longer needed.

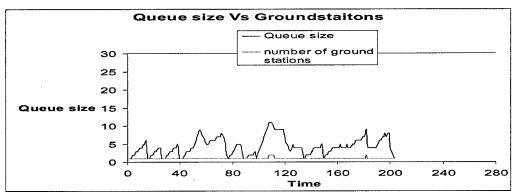


Figure 4.6: For one satellite and multiple ground stations.

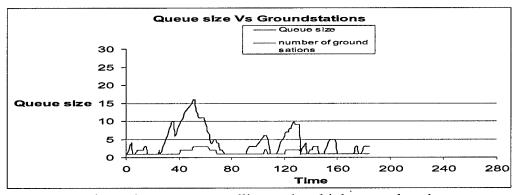


Figure 4.7: For two satellites and multiple ground stations.

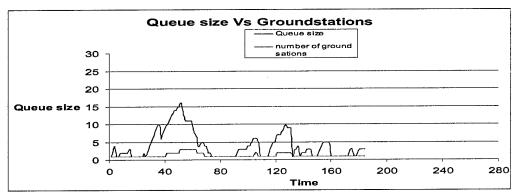


Figure 4.8: For four satellites and multiple ground stations.

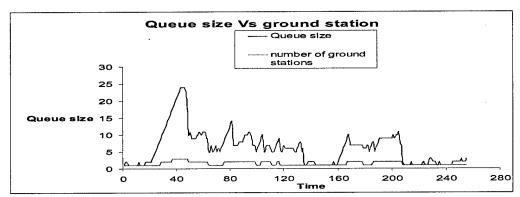


Figure 4.9 For six satellites and multiple ground stations.

The hardware support for this simulation environment was provided by three systems with Red Hat Linux version 7.2 were connected with an 8 port 10/100 fast ethernet hub on a 10 mbps network. Two of the three systems, representing satellite and broker, had the same configuration of Celeron i686 366MHz and the third one was Pentium i586 166MHz executing a ground station.

#### 5. Software Simulation

### **5.1 System Overview**

This simulation is run on a single processor machine, and is concentrated as a single process running at any instance. In this scenario, we simulate the agent side behavioral pattern as to when another agent should be created and when deleted. The messages from the broker are sent to the agent and we simulate the same as the agent receiving the messages from the broker and each message received is added to its queue that collects all the messages to be processed and the messages in queue are deleted once there are processed. Initially the messages coming from the broker are greater in number than the outgoing messages from the queue, and this results in a drastic increase in queue size. This observation helps us to decide the necessity of creating another agent to balance the load using the slope as heuristic. When another agent is created, the messages are processed at higher rate thus decreasing the queue size. Finally there comes a stage when

the queue size is such that one agent can handle the traffic, this the time when decision is taken that other agents should be deleted. A multiple test runs have been made to define the heuristic for agent creation and deletion. The results of the simulation are graphed for better study and understanding. The results are taken by account of one agent spawning just one agent all the through the process and the same agent spawning multiple number of agents depending on the necessity in another process.

The results are formulated based on the implementation that when the messages are created, they will be stored in a queue and for every seven messages created, a slope is calculated and if the slope is greater than 1.22 the delay time for processing messages is reduced other wise increased. The messages are deleted from the queue based on the delay time. This process continues until the queue is empty.

The message generation in this simulation environment is a poisson distribution with varying lambda values between 1 and 6, the same is represented in Figure 5.1, to resemble the message receiving pattern at the broker side of the real time simulation environment. The time for calculating the slope is also duplicated to resemble the real time simulation environment. This simulation was run on an Athlon AMD dual processor system for approximately 2 minutes.

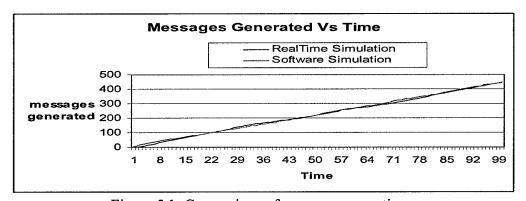


Figure 5.1: Comparison of message generations.

#### 5.2 Software Simulation Results

The results for the software simulation for single ground station are shown in Figure 5.2. Figure 5.3 demonstrates the same when multiple ground stations are operational.

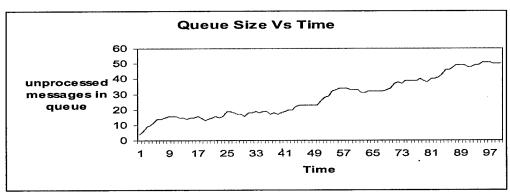


Figure 5.2: For single ground station.

#### 6. Conclusions

Introducing an intelligent broker in the system made the system efficient enough by making decisions in timely manner by better utilizing the available resources. The simulations presented in this paper show the best-performance of the system; based on the real time simulation results we found that the critical slope of the queue size versus time is 1.22 and this was supported by the demonstration of software simulation. Broker adds a ground station if this slope is more than 1.22 to satisfy the increased load on the system and kills non-required ground station if the slope is less than 1.22 to make the optimum use of the available resources. These experiments justify the implementation of decision making broker in our system for increase in performance.

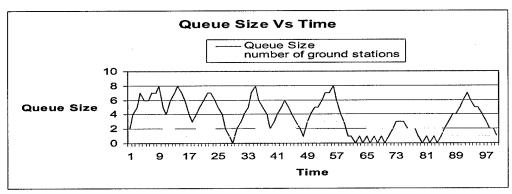


Figure 5.3: For multiple ground stations.

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